



Financial Disclosure

May 2016

Financial Disclosure

- Required by statute
- For candidates
- For elected officers
- For many appointed officers &
- For some state and local employees



Reason for Financial Disclosure

- Help avoid conflicts of interest
- Remind officials of their obligation to put public interest above personal considerations
- Help citizens monitor their government.



When do I file?

- Initially officers & employees must file within 30 days of taking the qualifying position
- And candidates must file when filing qualifying papers



Annual Filing

- Every year by July 1st
- Reminders go out in May
- Penalties for non-filing start in September



**WARNING:
DEADLINES
ARE CLOSER
THAN THEY
APPEAR**

Final Forms

- A filer must file a final form within 60 days of leaving their position—
 - Unless they immediately go into another position requiring filing

Where do I file?

With the Supervisor of Elections in the County in which you reside if you are:

- A candidate for local office
- A local officer
- A local employee



Where do I file?

With the Commission on Ethics if you are----

- A state employee
- A state officer
- A candidate for Constitutional Office

What is disclosed?

Depending on your position, different information is required



Form 1- Limited Disclosure

- State officers
 - Includes members of appointed boards with statewide jurisdiction
 - Excludes solely advisory bodies
- Local officers
- Includes those elected or appointed to fill an elected vacancy
- Appointed members of certain boards
- Candidates for Local Elected Office
- Specified state and local employees

Form 1- Limited Disclosure

FORM 1		STATEMENT OF FINANCIAL INTERESTS		2015	
<small>Print or type your name, mailing address, agency name, and position below:</small> LAST NAME - FIRST NAME - MIDDLE NAME: _____ MAILING ADDRESS: _____ CITY: _____ ZIP: _____ COUNTY: _____ NAME OF AGENCY: _____ NAME OF OFFICE OR POSITION HELD OR SOUGHT: _____ <small>You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.</small>				FOR OFFICE USE ONLY:	
CHECK ONLY <input type="checkbox"/> CANDIDATE OR <input type="checkbox"/> NEW EMPLOYEE OR APPOINTEE					
**** BOTH PARTS OF THIS SECTION MUST BE COMPLETED ****					
DISCLOSURE PERIOD: THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one): <input type="checkbox"/> DECEMBER 31, 2015 OR <input type="checkbox"/> SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR: _____					
MANNER OF CALCULATING REPORTABLE INTERESTS: FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one): <input type="checkbox"/> COMPARATIVE (PERCENTAGE) THRESHOLDS OR <input type="checkbox"/> DOLLAR VALUE THRESHOLDS					
PART A -- PRIMARY SOURCES OF INCOME (Major sources of income to file reporting period - See instructions) <small>(If you have nothing to report, write "none" or "nil")</small>					
NAME OF SOURCE OF INCOME		SOURCE'S ADDRESS		DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY	
PART B -- SECONDARY SOURCES OF INCOME <small>(Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions)</small> <small>(If you have nothing to report, write "none" or "nil")</small>					
NAME OF BUSINESS ENTITY		NAME OF MAJOR SOURCE OF BUSINESS INCOME		ADDRESS OF SOURCE	
PART C -- REAL PROPERTY (Land, buildings owned by the reporting person - See instructions) <small>(If you have nothing to report, write "none" or "nil")</small>				FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2. INSTRUCTIONS on who must file this form and how to fill it out begin on page 2.	

Form 1- Limited Disclosure

- Sources and types of financial interests such as real estate held and employers
- Certain business relationships
- NO dollar amounts are required!





FORM 6- Full Financial Disclosure

- Elected Constitutional officers and candidates for those offices
- Certain other individuals specified in the statute

FORM 6- Full Financial Disclosure

- Disclosure of assets, liabilities, sources of income over \$1000, values and net worth



Supervisor of Elections' Role

- Mail Form 1 to reporting individuals annually by June 1st
- Receive filing of Form 1 from reporting individuals
- Ministerial in nature.

Any Questions

